Certification Process

Matthew Boze

US EPA, Clean Air Markets Division (CAMD)

October, 2001



Focus

- Overview of the certification process
- Certification tests
- Required certification tests by system type
- Certification application submittal & review
- Post certification quality assurance (QA)



Prerequisites Certification Process

- Submit a Certificate of Representation establishing an Authorized Account Representative
- Submit an initial monitoring plan
- Submit notification of initial certification testing 45 days prior to starting certification testing



Overview of the Certification Process

- Begin certification test period
- Conduct all required testing for the system(s) to be certified
 - DAHS Verification
 - 7-day Calibration Error
 - Cycle Time
 - Linearity
 - RATA & Bias Test

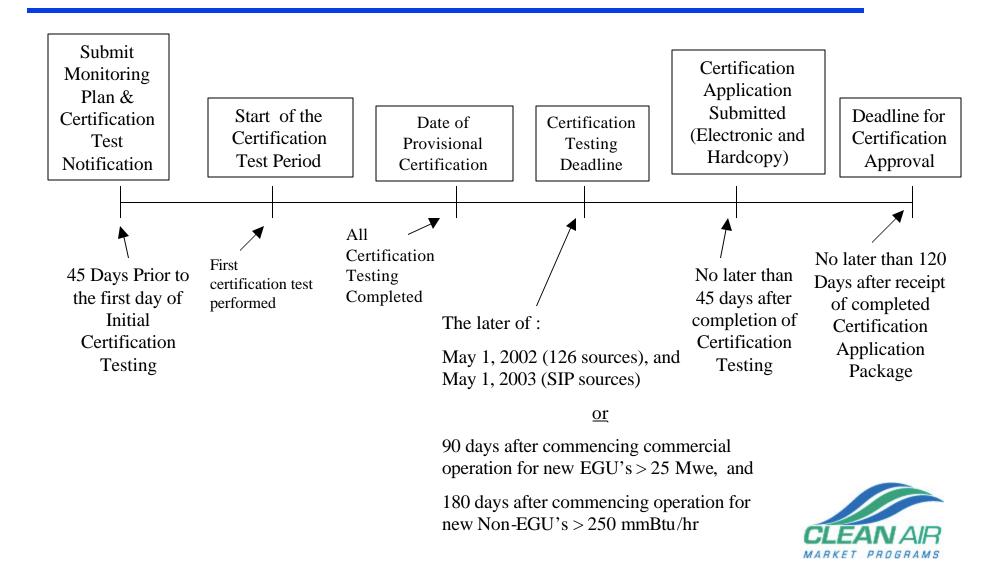


Overview of the Certification Process (cont.)

- Upon successful completion of all certification tests, the system(s) are provisionally certified
- ◆ The completed certification application is submitted within 45 days after completing all initial certification tests
- ◆ EPA has 120 days after receipt of a complete certification application to review the application



Initial Certification Timeline



Performance Tests for Gas Monitoring Systems

- ◆ 7-day Calibration Error Test
- Linearity Check
- Cycle Time Tests
- Relative Accuracy Test Audit (RATA)
- Bias Test



7-Day Calibration Error Test

- Measure the calibration error of each pollutant monitor while the unit is combusting fuel once each day for 7 consecutive operating days
 - Zero Gas (0 20% of span)
 - High Gas (80 100% of span)



Linearity Check

- ◆ 3 point check of the linearity of each pollutant monitor while the unit is combusting fuel at conditions of typical stack temperature and pressure
 - Low (20 30% of span)
 - Mid (50 60% of span)
 - High (80 100% of span)
- ◆ NO_x ranges under 30 ppm are exempt



Cycle Time Test

- ◆ Determine the time it takes for 95% of the step change to occur going from:
 - a stable zero gas value to the stack emission value, and
 - a stable high calibration gas value to the stack emission value
- The cycle time is the slower of the two responses

Relative Accuracy Test Audit

- ◆ Compares the CEMS measurements to the appropriate EPA reference method
- Conduct a minimum of 9 valid runs
 - May discard up to 3 runs but must report all runs performed
- ◆ Select reference method traverse points in accordance with part 75, Appendix A § 6.5.6
- Recommended that RATA not be commenced until completion of other required certification tests

Bias Test

- ◆ Statistical test that evaluates the RATA data to determine if a low bias exists in the CEMS measurements, and determine the need for calculating a Bias Adjustment Factor (BAF)
- ◆ The CEMS passes the bias test if the mean difference is less than or equal to the absolute value of the confidence coefficient:

 $d \le |cc| = Pass Bias Test; no BAF$



Required Certification Tests for NOx Concentration Systems

- ◆ 7-day Calibration Error Test
- Linearity Check
- ◆ RATA
- Bias Test
- Cycle Time Test
- DAHS Verification



Performance Specifications for NO_x Concentration Systems (App. A, § 3)

- ◆ 7-day Calibration Error (CE)
 - CE ≤ 2.5% of Span or within 5 ppm of the reference gas
- Linearity Check
 - LE ≤ 5.0% of reference gas or within 5 ppm of the reference gas
 - NO_x analyzer is exempt if span ≤ 30 ppm
- Cycle Time Test
 - Perform upscale and downscale tests
 - System Cycle Time ≤ 15 minutes



Performance Specifications for NO_x Concentration Systems (App. A, § 3) (cont.)

Relative Accuracy:

- RA calculated on a 'ppm' basis
- RA ≤ 10.0% or within 15.0 ppm of the average reference value

• Bias Test:

- No system shall be biased low as determined by the test procedure in § 7.6 of Appendix A
- A Bias Adjustment Factor (BAF) is applied to NO_x concentration data whenever a low bias is detected

Required Certification Tests for NOx-Diluent Systems

- ◆ 7-day Calibration Error Test performed on both the NO_x Concentration and Diluent components
- ◆ Linearity Check performed on both the NO_x Concentration and Diluent components
- ◆ RATA and Bias Test
- ◆ Cycle Time Test performed on both the NO_x
 Concentration and Diluent components; cycle time for the system is the highest of the components
- DAHS Verification



Performance Specifications for NO_x-Diluent Systems (App. A, § 3)

- ◆ 7-day Calibration Error (CE)
 - NO_x : CE ≤ 2.5% of Span or within 5 ppm of the reference gas
 - $CO_2 \text{ or } O_2$: $|R A| \le 0.5\% CO_2 \text{ or } O_2$



Performance Specifications for NO_x-Diluent Systems (App. A, § 3) (cont.)

Linearity Check

- NO_x Linearity Error (LE): LE ≤ 5.0% of reference gas or within 5 ppm of the reference gas
- CO₂ or O₂: LE ≤ 5.0% of reference gas or within 0.5% CO₂ or O₂ of the reference gas
- NO_x analyzer is exempt if span ≤ 30 ppm



Performance Specs. for NO_x-Diluent Systems (App. A, § 3) (cont.)

Cycle Time Test

- Test NO_x and diluent analyzers separately (upscale and downscale)
- Cycle time for NO_x-diluent system = slowest of the analyzers' cycle times
- System Cycle Time ≤ 15 minutes



Performance Specs. for NO_x-Diluent Systems (App. A, § 3) (cont.)

Relative Accuracy:

- RA calculated on a 'lb/mmBtu' basis
- RA ≤ 10.0% or within 0.020 lb/mmBtu of the average reference value

• Bias Test:

- No system shall be biased low as determined by the test procedure in § 7.6 of Appendix A
- A Bias Adjustment Factor (BAF) is applied to NO_x emission rate data whenever a low bias is detected

Required Certification Tests for Stack Flow Systems

- ◆ 7-day Calibration Error Test
- ◆ RATA at three flue gas velocities (except as provided by Part 75, App A, § 6.5.2)
- Bias Test at normal operating load
- DAHS Verification



Performance Specifications for Stack Flow Monitors (App. A, § 3)

- ◆ 7-day Calibration Error:
 - CE ≤ 3% of Span or within 0.01 in H_2O of the reference value for differential pressure systems
- Relative Accuracy:
 - Test at 3 load levels for initial certification
 - $-RA \le 10.0\%$ or within 2.0 fps of reference value
- Bias:
 - No system shall be biased low as determined by the test procedure in § 7.6 of Appendix A
 - A Bias Adjustment Factor (BAF) is applied whenever a low bias is detected

Required Certification Tests for Moisture Monitoring Systems

- ◆ Wet / Dry O₂ Systems
 - 7-day Calibration Error Test of each O₂
 component
 - Cycle Time Test of each O₂ component
 - Linearity Check of each O₂ component
 - RATA; reference method is EPA Method 4
 - DAHS Verification



Required Certification Tests for Moisture Monitoring Systems

- ◆ Temperature sensor and moisture lookup tables
 - A three temperature demonstration that the correct moisture value is taken from the moisture lookup table and applied to the emissions data
 - DAHS Verification
- Other continuous Moisture Sensors
 - RATA; reference method is EPA Method 4
 - DAHS Verification



Performance Specifications for Moisture Monitoring Systems (App. A, § 3)

Relative Accuracy:

- RA ≤ 10.0% or within 1.5 % H_2O of the average reference value
- May not use the wet-bulb dry-bulb approximation method
- If saturation is expected, perform reference method 4 and measure the stack temperature.
 Compare saturation chart value to the reference method. Use the lower moisture value of the two methods.

Required Certification Tests for Appendix D Systems

- Appendix D
 - Fuel Flowmeter Accuracy Test, or
 - Statement of calibration if the flowmeter can meet the accuracy standard by design
 - DAHS Verification



Required Certification Tests (cont.)

- Appendix E
 - Fuel Flowmeter Accuracy Test, or
 - Statement of calibration if the flowmeter can meet the accuracy standard by design
 - Four load NO_x Emission Rate Performance
 Test using EPA Method 20
 - DAHS Verification



Certification Application

- ◆ There are two parts to the Certification Application
 - Electronic Portion
 - Hardcopy Portion



Electronic Portion of the Certification Application

- ◆ A complete, up-to-date version of the electronic monitoring plan in accordance with § 75.53
- The results of required certification test(s) performed
- ◆ Report all applicable 100, 500, 600 and 900 level records in EDR v2.1 format



Hardcopy Portion of the Certification Application

- ◆ Any changed portions of the hardcopy monitoring plan since submittal of the initial monitoring plan
- ◆ Test reports containing all information required by § 75.59(a)(9) necessary to support the testing results
- Certification Application Form (EPA form 7610-14)
 - www.epa.gov/airmarkets/forms/arp/certapp.pdf
- Signature of the Authorized Account Representative



Certification Application Submittal

- ◆ Electronic Portions (100, 500, 600, 900 RTs)
 - Send Electronic Certification Test Data in EDR v2.1 format to CAMD
 - Submit electronically via e-mail: MPCert-Reg#@epa.gov
 - » MPCert-Reg1@epa.gov
 - » MPCert-Reg2@epa.gov
 - » And so on . . .
 - Copy EPA Region and State with hardcopy submittal



Certification Application Submittal (cont.)

- Hardcopy Portions
 - Submit to EPA Region and State
 - Includes:
 - » Test strategy/protocol
 - » Detailed test report for each Reference Method performed for certification
 - » DAHS verification
 - » 7-Day calibration test data
 - » Linearity report with protocol gas certifications
 - » Cycle Time test results

Recertification Events §75.20(b)

- ◆ Recertification Events include:
 - Permanent replacements of analyzers and monitoring systems
 - Change of probe or probe location
 - Change in monitoring methodology
 - Replacement of critical orifice in dilution
 probes resulting in a change in the dilution ratio



Recertification vs Diagnostic Events

◆ CAMD is working on guidance to better clarify which events require recertification and which will only require some level of diagnostic testing



Recertification Test Timelines § 75.20(b)(3)(iv)

- ◆ Each required test is to be completed no later than the following number of unit operating hours after the probationary calibration.
 - Linearity Check; 168 unit operating hours
 - RATA; 720 unit operating hours
 - 7-day calibration tests; 21 consecutive unit operating days

Cert/Recert Application Review -- 126 Affected Sources

CAMD

- Reviews the electronic submission using MDC
- Sends feedback to Source, State, and EPA Region
- State and/or Region
 - Reviews hardcopy information with the electronic portion and CAMD feedback
 - Communicates/resolves any deficiencies with Source

Approval

- State makes recommendation to Region & CAMD
- CAMD makes final approval decision

Initial Cert Application Review --SIP Affected Sources

CAMD

- Reviews electronic submission using MDC
- Sends feedback to Source, State, and EPA Region

State

- Reviews the hardcopy information with the electronic portion and CAMD feedback
- Communicates/resolves any deficiencies with Source

Approval

- State makes the final approval decision
- State notifies EPA Region and CAMD



Recertification Application Review -- SIP Affected Sources

State

- Reviews the electronic submission using MDC
- Reviews the hardcopy information with the electronic portion
- Communicates/resolves any deficiencies with Source

Approval

- State makes the final approval decision
- State notifies EPA Region and CAMD



Post Certification Quality Assurance

- ◆ Schedules for daily, quarterly, semiannual or annual quality assurance assessments are set starting with the quarter following the date of provisional certification
- Daily Assessments
 - Calibration Error Test
 - Daily Flow Interference Check (stack flow monitoring systems)



Post Certification Quality Assurance (cont.)

- Quarterly Assessment
 - Linearity Checks
 - Leak Checks (differential pressure stack flow monitors)
 - Flow-to-Load Ratio, or Gross Heat Rate
 evaluations (stack flow monitoring systems)



Post Certification Quality Assurance (cont.)

- Semiannual and Annual Assessments
 - RATA, which is
 - » Required semiannually, unless
 - » Unit qualifies for annual RATA frequency under part 75, Appendix B § 2.3.1.2



Quality Assurance Testing Submittal & Review

CAMD

- Receives and reviews QA records electronically in the quarterly EDR (RT 600)
- Sends MDC and ETS feedback to Source, State, and EPA Region
- Responsible for MDC error resolution for 126 program
- Responsible for ETS error resolution for all programs

State and Region

- Receive and review hardcopy RATA test reports
- State responsible for MDC error resolution for SIP program



The End

Questions?

